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**Citation for published version:**

Tostevin, R, Clarkson, MO, Gangl, S, Shields, GA, Wood, R, Bowyer, F, Penny, A & Stirling, CH 2019, 'Uranium isotope evidence for an expansion of anoxia in terminal Ediacaran oceans', *Earth and Planetary Science Letters*. <https://doi.org/10.1016/j.epsl.2018.10.045>

**Digital Object Identifier (DOI):**

[10.1016/j.epsl.2018.10.045](https://doi.org/10.1016/j.epsl.2018.10.045)

**Link:**

[Link to publication record in Edinburgh Research Explorer](#)

**Document Version:**

Peer reviewed version

**Published In:**

Earth and Planetary Science Letters

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# Uranium isotope evidence for an expansion of anoxia in terminal Ediacaran oceans

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Keywords: redox; uranium; Ediacaran; oxygen; animals

## Abstract

Anoxic and iron-rich oceanic conditions prevailed throughout most of the Archean and Proterozoic (4000 to c.540 million years ago, Ma), but the oceans are hypothesised to have become progressively oxygen-rich during the Ediacaran-Cambrian transition interval, coincident with the rise of animal life. We utilise the uranium isotope ratio of seawater ( $^{238}\text{U}/^{235}\text{U}$ ; reformulated as  $\delta^{238}\text{U}$ ), an effective tracer of oceanic redox conditions, as a proxy for changes in the global proportion of anoxic seafloor. We present a new  $\delta^{238}\text{U}$  dataset for carbonate rocks from the Lower Nama Group, Namibia, deposited in a shelf ramp succession during the terminal Neoproterozoic (~550 to ~547 Ma). These data have persistently low  $\delta^{238}\text{U}$  (average =  $-0.81 \pm 0.06\%$ ) compared with the signature of modern day seawater. Such low

$\delta^{238}\text{U}$  are consistent with enhanced U drawdown from the water column under anoxic conditions, and the preferential export of 'heavy'  $^{238}\text{U}$  to sediments following U(VI)-U(IV) reduction. Placing our results into a steady state ocean box model suggests at least a third of the global seafloor was covered by anoxic bottom waters compared with only 0.3% in today's oxygenated oceans. Comparison with  $\delta^{238}\text{U}$  from older sediments deposited in other basins further supports an expansion of anoxic bottom waters towards the end of the Ediacaran. Our data are consistent with an emerging picture of a dominantly anoxic Ediacaran ocean punctuated by brief ocean oxygenation events. In the Nama Group, the transition towards globally widespread anoxic conditions post-dates the first appearance of both skeletal metazoans and soft-bodied fauna of the Nama Assemblage. This suggests that the global expansion of anoxia did not coincide with the decline of the Ediacaran biota, or drive the biotic turnover between the White Sea and Nama Assemblages. The impact of this global redox change on metazoan ecosystems is unclear, since the expansion of anoxia, if contained mainly within deeper waters, may not have impinged significantly upon continental shelves that host the majority of biodiversity.

## **1. Introduction**

The oxygen-deficient Proterozoic oceans (2500 to c.540 million years ago, Ma) were characterised by ferruginous (anoxic and iron rich) conditions, with oxygenated surface waters and occasional euxinia (anoxia and free- $\text{H}_2\text{S}$ ) at mid-depths (Poulton and Canfield, 2011). The oceans are thought to have become progressively oxygenated in the Cryogenian, Ediacaran and Cambrian Periods (720 - 485 Ma), coincident with the rise of animal life (Canfield et al., 2007; Planavsky et al., 2014; Sahoo et al., 2012). However, the overall trend towards more oxygenated

conditions has been difficult to constrain, because reconstructions have relied on localised redox proxies that record heterogeneous oceanic conditions among Neoproterozoic basins. Deepwater oxygenation has been recorded as early as 580 Ma in some basins, but others remained largely anoxic into the early Phanerozoic (Bowyer et al., 2017; Canfield et al., 2007; Wood et al., 2015). In particular, a recent compilation of Fe-speciation data, which records regional anoxia, from sediments deposited below storm wave base in multiple basins, finds no statistically significant trend towards oxygenation across the Ediacaran–Cambrian transition period (Sperling et al., 2015). Together, this suggests that widespread deep water oxygenation did not occur until the Palaeozoic Era. However, such reconstructions based on localised redox proxies have only limited potential to constrain global trends in marine redox conditions due to incomplete coverage, bias in preserved facies, and hydrodynamic controls on local redox conditions that do not relate to changes in atmospheric oxygen levels.

Attempts to constrain the global extent of atmospheric and ocean oxygenation commonly are based on i) constraints on the burial of organic carbon and sulfide using carbon ( $^{13}\text{C}/^{12}\text{C}$ ;  $\delta^{13}\text{C}$ ) and sulfur isotopes ( $^{34}\text{S}/^{32}\text{S}$ ;  $\delta^{34}\text{S}$ ), ii) sedimentary enrichments or depletions in the redox-sensitive elements (e.g. uranium, vanadium, cerium, iodine and molybdenum), and iii) compositional shifts in various redox-sensitive metal stable isotope systems (e.g. chromium, selenium and molybdenum). However, these systems produce conflicting results for the onset of ocean oxygenation, with a very broad range that spans almost 300 Myr, from ca. 800 to 520 Ma (Fike et al., 2006; Kendall et al., 2015; Planavsky et al., 2014; Sahoo et al., 2012; Stolper and Keller, 2018). These conflicting findings may in part be due to the unique reduction potential of each system, each of which would have been surpassed

79 progressively, and their different oceanic residence times which affect the timescale  
80 of response. Enrichments in redox sensitive trace metals in black shales suggest that  
81 rather than a single, unidirectional step change in oxygenation, the oceans instead  
82 remained broadly anoxic throughout the Neoproterozoic, but hosted a series of large  
83 perturbations, dubbed ‘ocean oxygenation events (OOEs)’ (Sahoo et al., 2016).

84 The timing of oceanic oxygenation is significant as it is hypothesised to have  
85 coincided with the rise of macroscopic metazoan life (Canfield et al., 2007). Body  
86 fossils of putative metazoans are first recorded ~571 Ma (Pu et al., 2016), but  
87 burrowing animals did not appear until after 560 Ma (Budd and Jensen, 2017). The  
88 earliest skeletal macrofossils appear globally ~550 Ma (Germs, 1983). The  
89 development of hard body parts is energetically costly, and explanations for the  
90 abrupt and globally synchronous emergence of biomineralisation have included  
91 ecological factors such as a rise in predation, and environmental factors, such an  
92 increase in alkalinity, or increased oxygen availability (Hua et al., 2003; Wood et al.,  
93 2017). Proxies that record the global extent of anoxia are required to investigate  
94 relationships between the innovation and distribution of biota, and major  
95 environmental change.

96 Using techniques in multiple-collector ICP-MS (MC-ICPMS) and double-  
97 spiking, we present coupled uranium isotope ( $\delta^{238}\text{U}$ ) and U concentration  
98 (approximated by U/Ca) data preserved in carbonate rocks from the lower Nama  
99 Group, Namibia. Radiometric ages, as well as the presence of well-preserved biota,  
100 and globally correlative carbon isotope trends provide some constraints on the timing  
101 of deposition of the Nama Group (for geological and geochemical background, see  
102 SI-1). The integration of local and global redox proxies, in a section that directly  
103 preserves changes in biota, allows for co-interpretation without a need to correlate

between possibly contemporaneous sections. These new results constrain global oceanic redox conditions spanning the interval from ~550~547 Ma, and suggest anoxic bottom waters expanded to cover at least a third of the sea floor, following the emergence of the first skeletal animals and coincident with major perturbations in the sulfur and carbon cycles (Cui et al., 2016; Fike et al., 2006; Tostevin et al., 2017; Wood et al., 2015).

### **1.1 The Uranium isotope paleo-redox proxy**

Uranium primarily enters the ocean through riverine runoff, and in the modern ocean, U burial is split between sediments below anoxic bottom waters (20%), euxinic sediments below productive but oxygenated waters (23%), carbonates (23%), deltaic sediments (19%) and altered oceanic crust (10%)(Andersen et al., 2017; Dunk et al., 2002) (Figure 1). Given that anoxic sediments account for less than 0.3% of the modern seafloor (Andersen et al., 2017; Dunk et al., 2002), U removal into anoxic sediments, which occurs via the reduction of highly soluble U(VI) to relatively insoluble U(IV), is disproportionately high. This makes the concentration of U in seawater very sensitive to the global extent of anoxia. Additionally, although the U isotope proxy has so far only been calibrated in modern euxinic settings, U reduction and removal should occur under all anoxic conditions. If this is the case, then U isotope systematics provide information on the total anoxic water mass. This differs from the isotope systematics of some other palaeo-redox proxies which instead reflect the end-member redox state of anoxic and sulfidic conditions (e.g. Molybdenum).

In nature, the largest uranium isotope fractionations have been documented during oxidation-reduction associated with the U(VI)-U(IV) exchange reaction, resulting from variable nuclear volumes and electron density distributions between

the different U isotopes (Abe et al., 2008). During the reduction of U(VI) to U(IV) under anoxic conditions, authigenic U enrichment occurs in the sediments as dispersed U(IV) precipitates (e.g. uranite), leaving the anoxic water column depleted in dissolved U.  $^{238}\text{U}$  is preferentially removed into the sediments, leaving seawater depleted in this heavy isotope. The magnitude of this redox-related fractionation between  $^{238}\text{U}$  and  $^{235}\text{U}$  is at the permil-level (Stirling et al., 2007; Weyer et al., 2008), and dominates the U isotope signature of seawater.

In the modern environment, the  $\delta^{238}\text{U}$  signature in seawater is well constrained ( $-0.39 \pm 0.01\text{‰}$ ), and appears to be slightly lower than the mean signature of riverine input ( $-0.26\text{‰}$ ) (Andersen et al., 2017; Stirling et al., 2007; Weyer et al., 2008). This is largely a result of  $^{238}\text{U}$ - $^{235}\text{U}$  fractionation during U burial in anoxic settings, despite these settings only accounting for a small proportion of the modern seafloor. Uranium has a long residence time in the modern ocean of  $\sim 400 \pm 120$  thousand years (kyr), and behaves conservatively (Dunk et al., 2002). The  $\delta^{238}\text{U}$  of modern seawater is therefore globally homogeneous and captures changes in the strength of the anoxic sink over long timescales.

Uranium exists in seawater predominantly as the uranyl-tricarbonate ion  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$ , and this soluble form of uranium is directly incorporated into calcium carbonate. There is a growing body of evidence showing that, under most oceanic conditions, modern marine carbonate sediments preserve seawater  $\delta^{238}\text{U}$  signatures ( $\delta^{238}\text{U}_{\text{sw}}$ ) without large U isotope fractionations (Andersen et al., 2014; Chen et al., 2018; Romaniello et al., 2013; Stirling et al., 2007; Tissot and Dauphas, 2015; Weyer et al., 2008), provided minimal diagenetic exchange of the U isotopes has occurred following deposition.  $\delta^{238}\text{U}$  in ancient carbonate rocks has therefore been successfully

used as a global paleo-redox proxy (Andersen et al., 2014; Clarkson et al., 2018; Lau et al., 2017, 2016; Stirling et al., 2007; Zhang et al., 2018).

Early diagenesis has been observed to systematically drive  $\delta^{238}\text{U}$  to higher values in some recent carbonates, especially those derived of primary metastable aragonite or aragonite-calcite mixtures, resulting in a positive offset from modern seawater of up to 0.3‰ (Romaniello et al., 2013). This offset is present in the majority of Holocene aragonitic sediments from the Bahamas, even those deposited below oxygenated bottom waters (Chen et al., 2018). As such, early diagenetic enrichments in  $^{238}\text{U}$  with respect to  $^{235}\text{U}$  cannot be easily detected using redox proxies such as Ce anomalies or Fe speciation (Chen et al., 2018; Hood et al., 2018). In addition, fabric specific work on Cryogenian carbonates has demonstrated that early cements, micrite and ooids may preserve primary  $\delta^{238}\text{U}$  while microbialites and burial cements generally have altered  $\delta^{238}\text{U}$  (Hood et al., 2018, 2016). However, if burial diagenesis is occurring under closed system conditions, the phase specific variability may be averaged, meaning the bulk rock values could still provide a reliable approximation of the initial primary signal.

## **2. Methods**

The Nama Group, Namibia, is a mixed carbonate-siliciclastic sequence deposited in a ramp system, and exceptional exposure has allowed sequence stratigraphic, geochemical analysis and ecological surveys across multiple transects (see SI-1). Samples from a range of carbonate facies were selected from the Zebra River Section, which covers the Lower and Upper Omkyk, and Hoogland Members. The carbonates were probably originally deposited dominantly as aragonite, but have since neomorphosed to calcite. Dolomite-rich samples were excluded due to



uncertainty surrounding the impact of dolomitisation on  $\delta^{238}\text{U}$ .  $\delta^{13}\text{C}$  ( $^{13}\text{C}/^{12}\text{C}$ ;  
reformulated as  $\delta^{13}\text{C}$ ),  $\delta^{18}\text{O}$  ( $^{18}\text{O}/^{16}\text{O}$ ; reformulated as  $\delta^{18}\text{O}$ ),  $\delta^{34}\text{S}$  ( $^{34}\text{S}/^{32}\text{S}$ ;  
reformulated as  $\delta^{34}\text{S}$ ), major element, Fe-speciation and rare earth element data for  
the same samples, and the associated methods are published in Tostevin et al., (2017,  
2016) and Wood et al., (2015).

All samples were prepared and analysed for their  $\delta^{238}\text{U}$  composition at the  
Centre for Trace Element Analysis, University of Otago, New Zealand following  
protocols reported in SI-2. In brief, powders were subjected to a two-step reductive  
and oxidative cleaning procedure to remove potential Mn-oxides and residual organic  
matter (Clarkson et al., 2018). Carbonate was then selectively digested using a 1M  
sodium acetate buffer solution maintained at pH >5, which avoids attacking the  
silicate fraction, as demonstrated by low Al concentrations (<20 ppm) (Table S2). The  
digest was resuspended in nitric acid and analysed via quadrupole ICP-MS to  
determine the concentrations of trace metals, including U and Ca. Based on the U  
concentration, leachates were subsampled to achieve a total U mass of 30-150 ng, and  
double spiked to give a  $^{236}\text{U}/^{235}\text{U}$  ratio of approximately 3. Matrix elements (e.g. Na,  
Ca) were first removed through co-precipitation using pre-cleaned  $\text{FeCl}_3$  and  
ammonia solution (Clarkson et al., 2018). The resulting precipitates were first  
dissolved in 6M HCl, and then resuspended in 3M  $\text{HNO}_3$  and loaded onto heat shrink  
teflon columns containing UTEVA resin. Samples were then oxidised to eliminate  
any organic residues from the resin.

The purified U fractions were re-dissolved in 2% HCl and 0.01% HF, and  
analysed via MC-ICPMS. A  $^{236}\text{U}$ - $^{233}\text{U}$  double spike was used to correct for  
instrumental mass fractionation (Rolison et al., 2017; Stirling et al., 2007). The  
 $^{238}\text{U}/^{235}\text{U}$  composition is presented in  $\delta$ -notation following Eq (1):

$$\delta^{238}\text{U} = \left( \frac{(^{238}\text{U}/^{235}\text{U})_{\text{sample}}}{(^{238}\text{U}/^{235}\text{U})_{\text{CRM-145}}} - 1 \right) \quad (1)$$

where CRM-145 is the ‘zero-delta’ standard. For a detailed method description, see SI-2 and references therein.

### 3. Results and assessment of diagenesis

U/Ca decreases up-section from scattered but generally higher values in the Lower Omkyk Member (average =  $0.63 \pm 0.28$   $\mu\text{mol/mol}$  (1 SE), range = 0.22 to 1.04  $\mu\text{mol/mol}$ ) to lower, more stable values in the Upper Omkyk and Hoogland Members (average =  $0.21 \pm 0.09$   $\mu\text{mol/mol}$  (1 SE), n=14, range = 0.11 to 0.41  $\mu\text{mol/mol}$ ) (Figure 2, Table S2).  $\delta^{238}\text{U}$  decreases systematically from a maximum of -0.27‰ in the Lower Omkyk Member to a stable baseline of  $-0.81 \pm 0.06$ ‰ (1 SE) for the Upper Omkyk and Hoogland Members (excluding ZR29 at 168.1 m, with an outlying  $\delta^{238}\text{U}$  of -0.48‰). One outlying sample (LO4 at 18 m) deviates from this trend and has a lower  $\delta^{238}\text{U}$  than the adjacent samples of -0.97‰ (Figure 2, Table S2). For a full assessment of the impacts of local water column redox conditions during deposition, facies control, early and late stage diagenesis, and detrital leaching, see SI-3 and SI-4.

There are several compelling reasons to suggest that the  $\delta^{238}\text{U}$  in the Nama Group record a primary open ocean signature. Firstly, there is limited stratigraphic variability in  $\delta^{238}\text{U}$  for the Upper Omkyk and Hoogland Members. Secondly, the rocks generally preserve primary marine geochemical signals based on other diagnostic parameters, including  $\delta^{13}\text{C}$  and rare earth element patterns (Tostevin et al., 2016; Wood et al., 2015). Furthermore, the co-occurring  $\delta^{13}\text{C}$  is relatively enriched, suggesting minimal overprinting during meteoric diagenesis, and this is supported by petrographic analysis (Wood et al., 2018). While these parameters cannot be relied upon to identify alteration of  $\delta^{238}\text{U}$ , they suggest the Nama Group has the potential to

228 preserve primary marine  $\delta^{238}\text{U}$  (Chen et al., 2018; Hood et al., 2018). Thirdly, these  
229 samples have low TOC (<0.2 wt%) and were deposited under a locally oxygenated  
230 water column, and should therefore act as a passive sink for seawater U (Wood et al.,  
231 2015). Fourth, the Nama Group samples analysed here are composed of high purity  
232 samples (>90%  $\text{CaCO}_3$ ) that preserve textural detail (Wood et al., 2018), indicating  
233 that neomorphism from primary aragonite occurred early and in the presence of fluids  
234 similar in composition to seawater. Finally, and most significantly, the Nama Group  
235  $\delta^{238}\text{U}$  closely matches pene-contemporaneous  $\delta^{238}\text{U}$  from carbonates deposited in two  
236 independent sections from a geographically distant basin in South China (Zhang et al.,  
237 2018).

238 The  $\delta^{238}\text{U}$  from south China display a similarly low  $\delta^{238}\text{U}$  centred around -  
239  $0.95 \pm 0.10\text{‰}$  and  $-0.97 \pm 0.09\text{‰}$  for Gaojiashan and Wuhe sections, respectively  
240 (Zhang et al., 2018), together confirming that low  $\delta^{238}\text{U}$  is a primary global signal  
241 from the late Ediacaran.  $\delta^{238}\text{U}$  from the oldest part of the Xiaotan section, south China  
242 reported in Wei et al., (2018) also capture the minima in  $\delta^{238}\text{U}$  around -1‰.  
243 Additionally, the systematic trend towards lower  $\delta^{238}\text{U}$  in the Lower Nama Group is  
244 also captured in equivalent sections from south China (Zhang et al., 2018). Zhang et  
245 al. (2018) screened their  $\delta^{238}\text{U}$  data using a number of geochemical criteria, including  
246 Mn/Sr ratios <2.5, although there is no evidence to suggest that Mn/Sr cut-offs can be  
247 reliably used to screen for alteration of  $\delta^{238}\text{U}$  in bulk carbonate rocks (Chen et al.,  
248 2018). In Figure 3, we plot the un-screened  $\delta^{238}\text{U}$  for comparison, and the trend from  
249 near-modern  $\delta^{238}\text{U}$  to very low  $\delta^{238}\text{U}$  is apparent in all three sections.

250 Despite similar trends, the Nama Group does not appear to record the minima  
251 in  $\delta^{238}\text{U}$  observed in south China. While there are numerous lines of evidence to  
252 suggest the Lower Nama Group and the Dengying Formation were deposited at

similar times, including capturing similar  $\delta^{13}\text{C}$  and  $\delta^{34}\text{S}$ , the presence of the Nama biota, and overlapping radiometric dates, there is some uncertainty in dating and correlations that mean the sections could either be contemporaneous or very close in age (Cui et al., 2016; Tostevin et al., 2017; Zhang et al., 2018). If the Nama Group was deposited slightly earlier than the Dengying, it may not record the full breadth of the transition to a very low  $\delta^{238}\text{U}$  of around -1‰. Alternately, if the sections were deposited at precisely the same time, then there may be a diagenetic offset of 0.1-0.2‰ in the Nama section (see full discussion of diagenetic effects in SI-3, SI-4 and Figure S1). Since diagenetic alteration in shallow modern carbonate sediments generally results in higher  $\delta^{238}\text{U}$  (Chen et al., 2018; Hood et al., 2018), the minimum values recorded globally may be more representative of seawater signatures. This suggests that the lowest  $\delta^{238}\text{U}$  as recorded in south China might be closest to seawater  $\delta^{238}\text{U}$  for the latest Ediacaran.

## **4. Discussion**

### **4.1 Ediacaran seawater $\delta^{238}\text{U}$**

There are some higher  $\delta^{238}\text{U}$  in the Lower Omkyk Member (up to -0.27‰) which give rise to an apparent systematic, secular trend of decreasing  $\delta^{238}\text{U}$  up section towards a baseline of  $-0.81 \pm 0.06\text{‰}$  in the Upper Omkyk and Hoogland Members. This  $\delta^{238}\text{U}$  baseline sits 0.43‰ below the  $\delta^{238}\text{U}$  of modern seawater and may represent a maximum estimate of seawater  $\delta^{238}\text{U}$  at the time of deposition. If we assume that the higher  $\delta^{238}\text{U}$  and generally higher U/Ca represents a primary signal, these trends can be interpreted to reflect the progressive removal of U from the water column and preferential export of heavy  $^{238}\text{U}$  to sediments under expanding seafloor anoxia in the latest Ediacaran. Primary trends in the Lower Omkyk Member of the

Nama Group are supported by comparisons with the  $\delta^{238}\text{U}$  of organic-rich mudrocks ( $\delta^{238}\text{U}_{\text{ORM}}$ ) from Member IV of the Doushantuo Formation, South China (Kendall et al., 2015), deposited immediately before the Nama Group at 560-551 Ma. The  $\delta^{238}\text{U}_{\text{SW}}$  for this time period can be calculated from the  $\delta^{238}\text{U}_{\text{ORM}}$ , using an assumed U isotope fractionation factor between seawater and the anoxic sinks of 0.6‰ (Kendall et al., 2015). This gives an average  $\delta^{238}\text{U}_{\text{SW}}$  of  $-0.34 \pm 0.11\text{‰}$  (excluding anomalously low values at the top of the cores) which is within error of the modern ocean value of  $-0.39 \pm 0.01\text{‰}$  (Andersen et al., 2017, 2014; Rolison et al., 2017; Tissot and Dauphas, 2015). This result was interpreted as indicating widespread marine oxygenation, and is supported by oxygenated signals from molybdenum (Mo) isotopic signatures on the same samples.

The decrease in average  $\delta^{238}\text{U}_{\text{SW}}$  from  $-0.34\text{‰}$  to  $-0.81\text{‰}$ , obtained by combining the average  $\delta^{238}\text{U}$  during Doushantuo deposition ( $\sim 560$  to  $\sim 551$  Ma) with the average  $\delta^{238}\text{U}$  during Nama Group deposition ( $\sim 550$  to  $\sim 547$  Ma), implies increased U(IV) removal from seawater and the preferential export of heavy  $^{238}\text{U}$  from the water column around 550 Ma (Figure 1 and 4). Lower  $\delta^{238}\text{U}_{\text{ORM}}$  of  $-1.02\text{‰}$  observed in the youngest rocks of the Doushantuo cores (Kendall et al., 2015) could indicate the onset of this anoxia expansion, and be equivalent to the apparent secular trends seen in the lower Nama Group and Dengying Formation (Zhang et al., 2018). Further, U/TOC in Member IV of the Doushantuo Formation supports declining U concentrations  $\sim 550$  Ma (Sahoo et al., 2016), consistent with declining average U/Ca across the Lower Nama Group, suggesting U drawdown under expanded ocean anoxia. Overall, the trends in  $\delta^{238}\text{U}$  and U/Ca in the Nama Group, when compared with  $\delta^{238}\text{U}$  from the Doushantuo Formation and the Dengying Formation, fit well with

global trends and support a rapid and dramatic decrease in  $\delta^{238}\text{U}$  around 550 Ma (Figure 4).

## 4.2 Constraining the extent of anoxia during the Ediacaran

Low  $\delta^{238}\text{U}_{\text{SW}}$  is broadly consistent with an expansion of seafloor anoxia, and we use an ocean box model to explore the implications of a  $\delta^{238}\text{U}_{\text{SW}}$  of -0.81‰ for the Ediacaran ocean redox state after Zhang et al., (2018b), as follows:

$$\delta^{238}\text{U}_{\text{SW}} = \frac{[\delta^{238}\text{riv} - (A_{\text{anox}}*k_{\text{anox}}*\Delta_{\text{anox}}) + (A_{\text{low}}*k_{\text{low}}*\Delta_{\text{low}}) + (A_{\text{oxic}}*k_{\text{oxic}}*\Delta_{\text{oxic}})]}{(A_{\text{anoxic}}*k_{\text{anoxic}}) + (A_{\text{low}}*k_{\text{low}}) + (A_{\text{oxic}}*k_{\text{oxic}})} \quad (1)$$

For a full description of the model structure and derivation of equation 1, see SI-5. In equation 1,  $\delta^{238}\text{U}_{\text{riv}}$  is the modern riverine  $\delta^{238}\text{U}$ , prescribed here as  $\delta^{238}\text{U}_{\text{riv}} = -0.30\text{‰}$ , consistent with previous models and the average for upper continental crust ( $-0.29 \pm 0.06\text{‰}$ ) (Andersen et al., 2017; Montoya-Pino et al., 2010; Zhang et al., 2018).

Variable  $k$  is the effective burial rate constant for each of the three burial sinks, and is derived by inverting the area and burial fluxes of U in modern environments (Zhang et al., 2018). The model assumes that uranium enters the ocean through rivers, and leaves via three major sinks: sediments below anoxic, low oxygen or oxic bottom waters. Here, low oxygen is defined as deposition below bottom waters containing 0.2 - 2 ml/L of dissolved  $\text{O}_2$  and the anoxic sink includes deposition below both anoxic ferruginous and euxinic waters. The oxic sink is an amalgamation of several minor sinks, including Fe-Mn crusts, carbonate sediments, pelagic clays, alteration of oceanic crust, and coastal retention. The U isotope fractionation associated with the oxic and low oxygen sinks is small (0.005‰ and 0.1‰ respectively; Andersen et al., 2017).

We take the U isotope fractionation factor between seawater and anoxic sinks,  $\Delta_{\text{anox}}$ , to be 0.6‰ (Andersen et al., 2014; Rolison et al., 2017). The area of anoxic, low oxygen and oxic seafloor ( $A_{\text{anox}}$ ,  $A_{\text{low}}$  and  $A_{\text{oxic}}$ ) is determined by the total area of

seafloor ( $A_{\text{ocean}}=3.61 \times 10^{16} \text{ dm}^2$ ) multiplied by the fraction of seafloor covered by anoxic ( $F_{\text{anox}}$ ), low oxygen ( $F_{\text{low}}$ ) or oxic ( $F_{\text{ox}}$ ) bottom waters, respectively.  $F_{\text{anox}}$  is allowed to vary between 0 and 1.  $F_{\text{low}}$  covaries with  $F_{\text{ox}}$  and is assumed to remain 6% of the size of the oxic sink, consistent with modern environments.

Expanding ocean anoxia drives  $\delta^{238}\text{U}_{\text{SW}}$  lower. To generate a  $\delta^{238}\text{U}_{\text{SW}}$  of -0.81‰ requires a large area of seafloor anoxia of 33%. The model is very insensitive at low  $\delta^{238}\text{U}_{\text{SW}}$ , such that a large change in seafloor anoxia is required to drive a small change in the resulting U isotope value (Lau et al., 2017). This means that the small variations in  $\delta^{238}\text{U}_{\text{SW}}$  through the section, and uncertainty about the extent of diagenetic enrichment, have large implications for the calculated area of anoxic seafloor. Given diagenetic enrichments may have skewed the Nama Group to higher  $\delta^{238}\text{U}$ , 33% represents a minimum estimate of seafloor anoxia. Under the conditions described above, the model cannot reproduce the very low  $\delta^{238}\text{U}$  of -0.97‰ recorded in south China. However, the model is sensitive to the U isotope fractionation factor associated with the anoxic sink, the assumed  $k$  function, and, to a lesser extent, the  $\delta^{238}\text{U}$  of riverine input. We explore the sensitivity of our model to these parameters below.

The  $\delta^{238}\text{U}$  of modern rivers varies widely, depending on the geology of the catchment area, since evaporites, limestones, dolomites, granites and black shales all have unique  $\delta^{238}\text{U}$  (Andersen et al., 2017; Stirling et al., 2007; Tissot and Dauphas, 2015). However, a weighted mean of all surveyed rivers gives a  $\delta^{238}\text{U}_{\text{riv}}$  of -0.34‰, but this average is strongly influenced by one exceptionally low  $\delta^{238}\text{U}$  reported from the Yangtze river (-0.70‰). If this river is excluded from the calculation, the global mean riverine  $\delta^{238}\text{U}$  is -0.26‰ (Andersen et al., 2017). In general, lower  $\delta^{238}\text{U}_{\text{riv}}$  results in a smaller estimate of the area of anoxic seafloor. For example, for a higher

352  $\delta^{238}\text{U}_{\text{riv}}$  of -0.26‰ a larger expanse of anoxic seafloor is required to explain the Nama  
353 Group data (55%). Conversely, for a  $\delta^{238}\text{U}_{\text{riv}}$  of -0.34‰, a reduced extent of anoxic  
354 seafloor is implied (24%). Changes in  $\delta^{238}\text{U}_{\text{riv}}$  within this range can not drive  $\delta^{238}\text{U}_{\text{sw}}$   
355 to the low values recorded in south China (-0.97‰), even with 100% sea floor anoxia  
356 (Figure 5a).

357       Of all the fractionations involved in the U isotope system, those associated  
358 with the anoxic sink are the largest but remain under constrained. Our model is highly  
359 sensitive to the assumed fractionation factor, with a smaller  $\Delta_{\text{anox}}$  implying a greater  
360 extent of anoxia for a given  $\delta^{238}\text{U}_{\text{sw}}$ . We test the sensitivity of our model to extreme  
361 high and low fractionation factors between 0.5 and 1.2‰ (Figure 5b). For example, a  
362 larger  $\Delta_{\text{anox}}$ , of 0.7‰, can be reconciled with our data with 18% of the seafloor  
363 covered by anoxic bottom waters, whereas a smaller  $\Delta_{\text{anox}}$ , of 0.5 cannot be reconciled  
364 with our data, even if 100% of the seafloor is anoxic (Figure 5). For a  $\delta^{238}\text{U}_{\text{riv}}$  of -  
365 0.30‰, a minimum  $\Delta_{\text{anox}}$  of 0.67‰ is required to produce a  $\delta^{238}\text{U}_{\text{sw}}$  of -0.97‰, as  
366 recorded in south China (Zhang et al., 2018). The model is extremely sensitive to the  
367 assigned global average  $k_{\text{anox}}$  and  $\Delta_{\text{anox}}$ , but both of these values are based on fluxes in  
368 isolated modern anoxic water bodies such as the Black Sea and the Cariaco Basin. It  
369 is not clear whether these values can be extrapolated to the past global ocean with  
370 very high  $A_{\text{anox}}$ . Further U isotope studies of modern anoxic and euxinic basins are  
371 required to continue to refine the magnitude of  $k_{\text{anox}}$  and  $\Delta_{\text{anox}}$ , particularly under the  
372 anoxic ferruginous conditions that may have typified Ediacaran oceans (Rolison et al.,  
373 2017).

374       Regardless of the sensitivity of the model to various poorly constrained fluxes  
375 and  $\delta^{238}\text{U}$ , our data cannot be explained simply by variation in the riverine  
376 composition or the U isotope fractionation factor between anoxic sediments and



seawater ( $\Delta_{\text{anox}}$ ). Importantly, only changing the size of the anoxic sink is able to drive the  $\delta^{238}\text{U}_{\text{sw}}$  to the very negative values observed in the Nama group, although uncertainties in riverine  $\delta^{238}\text{U}$  and  $\Delta_{\text{anox}}$  will impact the scale of anoxic expansion required to explain the data. The only way to reconcile our U isotope data with those from 560-550 Ma is a dramatic expansion of marine anoxia from near-modern levels to between 24% and 100% of the seafloor.

**Table 1: Parameters, symbols and selected values used in the steady state model.**  
**Values in brackets are the ranges considered in sensitivity tests.**

Parameter	Symbol	Value	Reference
$\delta^{238}\text{U}$ of global riverine input	$\delta^{238}\text{U}_{\text{riv}}$	-0.30‰ (-0.34 to -0.26‰)	Andersen et al., 2017
$\delta^{238}\text{U}$ of Ediacaran seawater	$\delta^{238}\text{U}_{\text{SW}}$	-0.81‰ to 0.89‰	
Isotope fractionation between seawater and oxic sinks	$\Delta_{\text{oxic}}$	0.005‰	Weyer et al., 2008
Isotope fractionation between seawater and low oxygen sinks	$\Delta_{\text{low}}$	0.1‰	Tissot and Dauphas, 2015
Isotope fractionation between seawater and anoxic sinks	$\Delta_{\text{anox}}$	0.6‰ (0.5-1.2‰)	Andersen et al., 2017; Weyer et al., 2008
Fraction of seafloor overlain by oxic bottom waters	$F_{\text{oxic}}$	$= 1 - F_{\text{low}} - F_{\text{anox}}$	
Fraction of seafloor overlain by low oxygen bottom waters	$F_{\text{low}}$	0.06 until $F_{\text{anox}} > 0.94$ , then $F_{\text{oxic}} = 0$ and $F_{\text{low}} = 1 - F_{\text{anox}}$	
Fraction of seafloor overlain by anoxic bottom waters	$F_{\text{anox}}$	0 – 1	
Total area of ocean floor	$A_{\text{ocean}}$	$3.61 \times 10^{16} \text{ dm}^2$	
Effective burial rate constant for oxic sinks	$k_{\text{oxic}}$	0.0536 dm/yr	Dunk et al., 2002
Effective burial rate constant for low oxygen sinks	$k_{\text{low}}$	0.469 dm/yr	Dunk et al., 2002
Effective burial rate constant for anoxic sinks	$k_{\text{anox}}$	0.939 dm/yr	Zhang et al., 2018b

### 4.3 Neoproterozoic redox conditions

The new  $\delta^{238}\text{U}$  and U/Ca records presented here, considered in a global context, suggest that there was a rapid and dramatic increase in the extent of seafloor anoxia around 550 Ma (Kendall et al., 2015; Wei et al., 2018; Zhang et al., 2018) (Figure 4 and 5). This transition coincides with a small positive carbon isotope excursion (Figure 3, Cui et al., 2016; Zhang et al., 2018b), indicating enhanced carbon burial under anoxic conditions. A shift in the marine sulfur cycle, recorded by  $\delta^{34}\text{S}$ , occurs around the same time, possibly consistent with more widespread sulfate reduction under anoxic conditions (Cui et al., 2016; Fike et al., 2006; Tostevin et al., 2017). Certainly, U reduction mediated by sulfate-reducing bacteria under anoxic conditions is known to drive U isotope fractionation of the direction and magnitude captured by the Nama Group (Andersen et al., 2017; Stirling et al., 2015; Stylo et al., 2015). One possibility is that the combined  $\delta^{238}\text{U}$  and  $\delta^{34}\text{S}$  data capture an intriguing link between the sulfur and uranium cycles, reflecting increased euxinia in the latest Ediacaran. The transition to higher  $\delta^{34}\text{S}$  may additionally reflect an elevated riverine flux or changing riverine source, suggesting a link between changes in weathering regimes and the type and extent of anoxia (Cui et al., 2016).

Widespread anoxia within ten million years of the Ediacaran-Cambrian boundary is consistent with recent compilations of Fe-speciation data which show no overall trend towards oxygenation in the Neoproterozoic (Sperling et al., 2015);  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios in submarine basalts which place deep ocean oxygenation in the Late Palaeozoic (Stolper and Keller, 2018); biogeochemical models which predict a rise in oxygen around 400 Ma (Bergman et al., 2004), as well as molybdenum isotope data which place the oxygenation of the oceans in the Palaeozoic (~520 to 400 Ma) (Chen et al., 2015). In addition, earlier work based on Th/U ratios suggests the

Precambrian-Cambrian Boundary was associated with the widespread development of anoxic shallow marine environments (Kimura and Watanabe, 2001). Together, this evidence suggests that there was no unidirectional change in marine oxygenation during the Neoproterozoic, and instead that the oceans remained broadly anoxic until later in Earth's history. Although the relationship between local and global oceanic redox conditions is complex, local redox proxy data are consistent with heterogeneous, poorly ventilated basins at ~550 Ma (Bowyer et al., 2017; Sperling et al., 2015; Wood et al., 2015).

Sahoo et al., (2016) proposed a series of ocean oxygenation events (OOEs) within the broadly anoxic Neoproterozoic ocean. A compilation of  $\delta^{238}\text{U}_{\text{SW}}$  across the Neoproterozoic and early Palaeozoic (Figure 4) reveals dramatic oscillations that coincide with proposed OOEs. Our data could capture the end of OOE 3, ~560 Ma, and the transition back to widespread anoxia (Figure 4).  $\delta^{238}\text{U}$  data from the Yanjiahe Formation and Zhujiqiang Formation in south china highlight another oxygenation event (OOE 4), at the base of the Cambrian, followed by a slow return to global anoxia (Wei et al., 2018). Similarly,  $\delta^{238}\text{U}$  data as well as trace metal enrichments record a brief oxygenation event after the Sturtian glaciation (650 – 630 Ma), followed by a return to anoxic conditions (Lau et al., 2017; Sahoo et al., 2012; Figure 4). It appears that oceanic redox conditions oscillated dramatically several times before any permanent switch to a new stable oxygenated state occurred, but the driver for such rapid and global change remains enigmatic. One possibility is that step changes in the burial of phosphorus and organic carbon, driven by evolutionary innovations, progressively lowered marine phosphate levels. Each step change would result in a pulse of marine oxygenation, but over long timescales the decrease in  $\text{C}_{\text{org}}/\text{P}$  burial ratios would drive atmospheric oxygen levels down, and slowly

deoxygenate the oceans (Lenton and Daines, 2018). One possible evolutionary driver is the onset of increasingly complex bioturbation during the late Ediacaran and Cambrian. Fine meiofaunal traces, capable of disrupting the sediment-mat interface, appeared after 560 Ma, coincident with OOE 3 (Budd and Jensen, 2017; Lenton and Daines, 2018). The onset of more complex forms of burrowing, including shallow penetrative burrows, appears close to the Cambrian Boundary, and could be the driver for OOE 4 (Jensen et al., 2000).

#### **4.4 Implications for early animal ecosystems**

Widespread anoxia may present both a challenge and an opportunity for marine ecosystems (Wood and Erwin, 2017). Anoxia can drive mass extinctions, through habitat loss or contraction as well as indirect effects on nutrient availability (Hull et al., 2015). But by removing incumbents, mass extinction events disrupt established ecological niches, leaving them open for new taxa to colonise (Hull et al., 2015). There is evidence around 550 Ma for a biotic turnover and reduction in diversity between the soft bodied macrobiotas known as the White Sea and Nama Assemblages (Waggoner, 2003). The Nama Assemblage, however, also marks a diversification of bilaterian trace fossils (Tarhan et al., 2018), and the emergence of new innovations including metazoan biomineralization (Germs, 1983). A global expansion of anoxia has been proposed to coincide with decline of the Ediacaran biota around 550 Ma (Zhang et al., 2018).

The Nama Group is relatively well dated radiometrically and hosts skeletal as well as soft-bodied biota from the Nama Assemblage. Our integrated data demonstrate that the transition towards globally widespread anoxic conditions post-dates both the first appearance of the Nama Assemblage, and skeletal metazoans.

Soft-bodied biota belonging to the Nama Assemblage are recorded in the Kanies Member (Bowyer et al., 2017), which sits stratigraphically below the lower Omkyk Member so pre-dating the  $\delta^{238}\text{U}$  transition to expanded anoxia. Skeletal *Cloudina* is present in the Mara Member (Germs, 1983), which was deposited coincident with the Kanies Member and the lower part of the Lower Omkyk Member, and so predates the  $\delta^{238}\text{U}$  minima. These data show that the global expansion of anoxia cannot have driven the decline in the Ediacaran biota or biotic turnover. They may, instead, reflect a geochemical response to ecological change (Lenton and Daines, 2018).

Following the global expansion of anoxia, our model suggests that more than a third of the sea floor was covered by anoxic bottom waters. However, there is no evidence that this transition impacted on the diversity or distribution of biota within the Nama Group (Bowyer et al., 2017). Expanded anoxia does not necessarily have to restrict shallow habitable space, if the oxygen minimum zone expands downwards into deeper waters. Modern continental shelf settings (defined as shallower than 150 m) make up less than 10% of the modern sea floor, and yet host the majority of benthic biodiversity. The abyssal plain, in contrast, comprises over 70% of the sea floor. The Nama Group records a continental shelf ramp system with no basinal facies, so it is possible that a global expansion of seafloor anoxia, if contained within deeper waters, did not impinge on shallow shelf communities. The Nama Group, therefore, demonstrates that complex metazoan communities can thrive in locally well-oxygenated niches despite globally widespread anoxia (Tostevin et al., 2016; Wood et al., 2015).

## 5. Conclusions

486 We present a new  $\delta^{238}\text{U}$  dataset from carbonate rocks from the Nama Group,  
487 Namibia, deposited at ~550-547 Ma. We report a transition from a U isotope  
488 signature equivalent to the modern marine  $\delta^{238}\text{U}$ , to much lower  $\delta^{238}\text{U}$ , reaching an  
489 average of  $-0.81 \pm 0.06\text{‰}$ . Correlations between  $\delta^{238}\text{U}$  and other global sections  
490 indicate this average could represent a maximum estimate of  $\delta^{238}\text{U}_{\text{sw}}$ . Comparison  
491 with  $\delta^{238}\text{U}$  observations from black shales in directly underlying strata from south  
492 China further supports a dramatic shift in  $\delta^{238}\text{U}_{\text{sw}}$  around ~550 Ma. We use a mass  
493 balance model to explore the implications of this  $\delta^{238}\text{U}$  transition, and find that  
494 oceanic conditions must have switched from broadly oxygenated (with <0.3% of the  
495 seafloor covered by anoxic bottom waters) to having widespread anoxic bottom  
496 waters (at least a third of the seafloor). Integrated geochemical and biotic records  
497 reveal that the redox transition post-dates the first appearance of skeletal fauna and  
498 soft-bodied biota belonging to the Nama Assemblage. These data conclusively  
499 demonstrate that expanded anoxia cannot have driven the biotic turnover between the  
500 White Sea and Nama Assemblages, and may instead be a response to ecological  
501 change.

## Acknowledgements

We thank Chris Reinhard, one anonymous reviewer and editor Lou Derry for their detailed and thoughtful suggestions. R.T., M.O.C., S.G. and C.H.S., were supported by the Royal Society of New Zealand, Marsden Fund Standard Grant UOO1314. RT, GAS and RAW acknowledge financial support from NERC's Life and the Planet project (NE/1005978/1). We are grateful to L. and G. Fourie for access to Zebra River farm, and Gerd Winterleitner for help with field work. Thank you to David Barr and Malcolm Reid for support in the lab.

## Author contributions

RT, FB, AMP and RW collected the samples. RT prepared the samples with assistance from MOC, SG and CHS. RT created the model with MOC. RT interpreted the data and drafted the manuscript with input from all co-authors.

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## Figure captions

**Figure 1:** Top panel: The range of  $\delta^{238}\text{U}$  for different sources and sinks in the modern uranium cycle (Andersen et al., 2017, 2014; Stirling et al., 2007; Tissot and Dauphas, 2015; Weyer et al., 2008). Pale boxes define the potential range of values and solid boxes suggest the most likely value. Middle panel: The  $\delta^{238}\text{U}$  of organic rich mudrocks in the Doushantuo Formation (Kendall et al., 2015), and the inferred  $\delta^{238}\text{U}$  of seawater ( $\delta^{238}\text{U}_{\text{SW}}$ ) using a fractionation factor between seawater and anoxic sediments ( $\Delta_{\text{anox}}$ ) of 0.6‰. Bottom panel: The  $\delta^{238}\text{U}$  of carbonates from the Nama Group (this study), assumed to directly represent  $\delta^{238}\text{U}_{\text{SW}}$ , and the inferred  $\delta^{238}\text{U}$  of the anoxic sink, assuming  $\Delta_{\text{anox}}$  of 0.6‰.

**Figure 2:** Panels ordered left to right: Stratigraphic log,  $\delta^{13}\text{C}$  (green circles), U/Ca ratios (orange circles) and  $\delta^{238}\text{U}$  (blue circles) for carbonate rocks from Kuibis Subgroup of the Nama Group. The local distribution of biota within the section is marked on the stratigraphic log. The  $\delta^{238}\text{U}$  of modern seawater (blue line) is shown for comparison. The average  $\delta^{238}\text{U}$  (dashed grey line) is calculated as an average of all data in the upper Omkyk and Hoogland Members, excluding one anomalously enriched value.

**Figure 3:** Comparison of  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  from the Nama Group, Namibia (this study), with two independent carbonate sections of the Dengying Formation, south China (Wuhe and Gaojiashan) (from Zhang et al., 2018b). The trend from modern marine  $\delta^{238}\text{U}$  (black dashed line) towards low  $\delta^{238}\text{U}$  of -0.8 to -1.0‰ (grey line) is apparent in all three sections, in both limestone and dolostone. The stratigraphic log for Gaojiashan is based on Cui et al., (2016). The recorded range of the Nama

Assemblage is indicated on the stratigraphic log, with dashed lines indicating that the range extends below the base of the section.

**Figure 4:** Compilation of limited  $\delta^{238}\text{U}_{\text{SW}}$  inferred from Neoproterozoic and Cambrian rocks (Dahl et al., 2014; Kendall et al., 2015; Lau et al., 2017; Wei et al., 2018; Zhang et al., 2018). The transition from a modern marine  $\delta^{238}\text{U}_{\text{SW}}$  to much lower  $\delta^{238}\text{U}_{\text{SW}}$  ~550 Ma coincides with the end of an inferred ‘ocean oxygenation event (OOE)’ (pale blue panels, Sahoo et al., 2016). Data from Kendall et al., (2015) has been replotted as  $\delta^{238}\text{U}_{\text{SW}}$  using an assumed U isotope fractionation factor between anoxic sediments and seawater of 0.6‰, consistent with Kendall et al. (2015). Global or expansive periods of glaciation are also marked in purple.

**Figure 5: a)** Model results for the  $\delta^{238}\text{U}_{\text{SW}}$  resulting from a given percentage of anoxic seafloor based on  $\Delta_{\text{anox}} = 0.6\text{‰}$  and  $\delta^{238}\text{U}_{\text{riv}}$  of -0.30‰. A lower  $\delta^{238}\text{U}_{\text{riv}}$  of -0.34‰ results in a smaller estimate of seafloor anoxia, and a higher  $\delta^{238}\text{U}_{\text{riv}}$  of -0.26‰ results in a larger estimate of seafloor anoxia. **b)** For lower  $\Delta_{\text{anox}}$ , a greater increase in seafloor anoxia ( $F_{\text{anox}}$ ) is required to reproduce  $\delta^{238}\text{U}_{\text{SW}}$  of -0.81‰ recorded in the Nama Group. The average  $\delta^{238}\text{U}$  recorded in the Dengying Formation, south China, is shown for comparison (Zhang et al., 2018).